

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
11675.114

In Re Application Of: **Kei-Yu Ko**

Serial No.  
08/846,671

Filing Date  
April 30, 1997

Examiner  
George A. Goudreau

Group Art Unit  
1763

Title: **UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED SILICON DIOXIDE**



Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

OR

☒ the fee set forth in 37 CFR 1.17(p).

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**TC 1700**

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UNDOPED SILICON DIOXIDE AS ETCH STOP FOR SELECTIVE ETCH OF DOPED SILICON  
DIOXIDE

MAR 29 2002

PATENT & TRADEMARK OFFICE

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of SEE PTO-1038 is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Certificate

\*This certificate may only be used if paying by deposit account.

Dated: March 29, 2002

Jesus Juanos i Timoneda, Ph.D.  
Attorney for Applicant  
Reg No. 43,332



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PATENT TRADEMARK OFFICE

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TC 1700

PATENT APPLICATION  
Docket No: 11675.114



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of )  
Kei-Yu Ko )  
Serial No.: 08/846,671 )  
Filed: April 30, 1997 )  
For: UNDOPE SILICON DIOXIDE AS ETCH STOP )  
FOR SELECTIVE ETCH OF DOPED SILICON )  
DIOXIDE )  
Examiner: George A. Goudreau )

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

The Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in

37 C.F.R. § 1.56.

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Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each non-English reference, if any, are also enclosed.

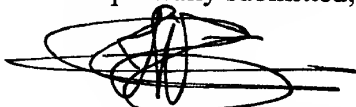
Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

<u>U.S. Patent No.</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Sub Class</u>
5,192,703	03/93	Lee et al	438	396
5,792,703	08/98	Bonner et al	438	620
5,478,772	12/95	Fazen	148	DIG.14
5,312,768	05/94	Gonzalez	438	227
5,550,071	08/96	Ryou	438	305
5,731,242	03/98	Parat et al	438	211
5,208,176	05/93	Ahmad et al	257	499
5,210,047	05/93	Woo et al	148	DIG.19
5,482,894	01/96	Havemann	438	623
5,063,169	11/91	De Bruin et al	148	DIG.26

Please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 29<sup>th</sup> day of March, 2002.

Respectfully submitted,



JESÚS JUANÓS i TIMONENDA. PH.D.  
Attorney for Applicant  
Registration No. 43,332



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